

# Combinatorial Relative Asphericity

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# Questions

Joint work with J. Harlander

Let  $L$  be a 2-complex and  $K \subset L$ .

We ask:

- 1 Under which assumptions does  $\pi_1(K)$  embed into  $\pi_1(L)$ ?
- 2 If  $K$  is aspherical under which assumptions is  $L$  aspherical?

Questions are related.

1. leads to Freiheitssatz-type of theorems.
2. was interesting also because of:

**Theorem:** HUCK/RB (2001): If a compressed injective LOT  $P$  does not contain a boundary-reducible Sub-LOT then the LOT-complex  $K(P)$  is DR.

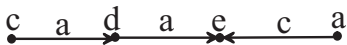
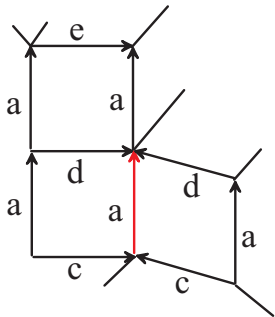
# Spherical diagrams

Let  $K$  be a 2-complex.  $f: C \rightarrow K$  is a **spherical diagram**, if  $C$  is a cell decomposition of the 2-sphere and open cells are mapped homeomorphically.

If  $K$  is non-aspherical then there exists a spherical diagram which realizes a nontrivial element of  $\pi_2(K)$ .

# Reducibility

A spherical diagram  $f: C \rightarrow K$  is called **reducible**, if there is a pair of 2-cells in  $C$ , which are mapped to  $K$  by folding over a common edge, the **folding edge**.



If a spherical diagram  $f: C \rightarrow K$  is reducible, then there is a spherical diagram of the same homotopy type without this pair of 2-cells.

If each spherical diagram over  $K$  is reducible, then  $K$  is called **diagrammatically reducible** (DR).

If  $K$  is DR then  $K$  is aspherical. (Any spherical diagram can be reduced until it is empty.)

Directed Diagrammatic Reducibility:

**Definition:** (HARLANDER/RB) Let  $K$  be a 2-complex with edge set  $X$ . Let  $Y$  be a proper subset of  $X$  (i.e.  $X \neq Y$ ). We say that  $K$  is

- *DR directed away from  $Y$*  if every spherical diagram  $f: C \rightarrow K$  that contains an edge with label from  $X - Y$  also contains a folding edge with label from  $X - Y$ ;
- *DR in all directions* if every spherical diagram  $f: C \rightarrow K$  that contains an edge labeled  $x \in X$  also contains a folding edge with label  $x$ . Note that this implies that  $K$  is DR directed away from all proper  $Y \subset X$ .

# Directed Diagrammatic Reducibility

Example: Orientable closed surfaces are DR in all directions.

$$P = \langle x_1, x_2, \dots, x_{2g-1}, x_{2g} \mid [x_1, x_2] \dots [x_{2g-1}, x_{2g}] \rangle$$

and let  $f: C \rightarrow K(P)$  be a spherical diagram that contains an edge  $x_1$ . If  $d$  is a 2-cell in  $C$  with two of its edge labels  $x_1$ , then draw a red line in  $d$  connecting the midpoints of the  $x_1$ -edges.

Red graph is a union of circles in  $C$ . Take one circle, the 2-cells form an annulus. Both boundary words  $u, v$  are from  $\{x_2, \dots, x_{2g}\}$ .

Subgroup generated by  $\{x_2, \dots, x_{2g}\}$  is free, so  $u, v$  contain a cancelling pair. The corresponding folding edge is labelled  $x_1$ . (Same argument with other generators)

# Directed Diagrammatic Reducibility

Let  $K$  be a 2-complex with edge set  $X$  and  $Y \subset X$  proper. Let  $K_Y \subset K$  have edge set  $Y$  and 2-cells of  $K$  with all boundary 1-cells from  $Y$ .

**Theorem:** Suppose that  $K$  is DR directed away from  $Y \subset X$ . Then

- 1  $\pi_2(K)$  is generated (as a  $\pi_1(K)$ -module) by the image of the inclusion induced map  $\pi_2(K_Y) \rightarrow \pi_2(K)$ ,
- 2 every disc diagram  $g: D \rightarrow K$  with boundary labeled by a word in  $Y$ , that contains a label from  $X - Y$ , has a folding edge with label from  $X - Y$ . Consequently, the inclusion induced map  $\pi_1(K_Y) \rightarrow \pi_1(K)$  is injective.



# Directed Diagrammatic Reducibility

**Proof of 1.**  $\pi_2(K)$  is generated (as a  $\pi_1(K)$ -module) by the image of the inclusion induced map  $\pi_2(K_Y) \rightarrow \pi_2(K)$ :

Suppose  $f: C \rightarrow K$  is a reduced spherical diagram. If  $f(C)$  is not contained in  $K_Y$  then  $C$  contains an edge  $e$  so that  $f(e) \notin Y$ .

$K$  is DR directed away from  $Y$  implies  $C$  contains a folding edge  $e$  so that  $f(e) \notin Y$ , contradicting that  $f: C \rightarrow K$  is reduced. Since  $\pi_2(K)$  is generated (as a  $\pi_1(K)$ -module) by reduced spherical diagrams, the first statement follows.  $\square$

# Directed Diagrammatic Reducibility

**Proof of 2.** Every disc diagram  $g: D \rightarrow K$  with boundary labeled by a word in  $Y$ , that contains a label from  $X - Y$ , has a folding edge with label from  $X - Y$ :

Suppose  $g: D \rightarrow K$  is a disc diagram as in statement (2). We double  $D$  and construct a spherical diagram  $g': C = D_1 \cup D_2 \rightarrow K$ , where  $D_1$  is mapped by  $g$  and  $D_2$  is mapped by  $-g$ .

$C$  contains an edge with label not in  $Y$ . Since  $K$  is DR away from  $Y$  this spherical diagram contains a folding edge with label not in  $Y$ . This folding edge can not occur on  $\partial D_1 = \partial D_2$ . Thus  $D_1$  or  $D_2$  contain an interior folding edge with label not in  $Y$  and hence so does  $D$ . □

**Classical Freiheitssatz:**  $P = \langle x_1, \dots, x_n \mid r \rangle$ ,  $r$  is a cyclically reduced word that contains all the generators. Then any proper subset  $S$  of  $\{x_1, \dots, x_n\}$  generates a free subgroup of  $G(P)$  with basis  $S$ .

Let  $P = \langle X \mid R \rangle$ .  $Y \subset X$  define  $P_Y$  to be the subpresentation of  $P$  with generators  $Y$  and all relators that contain only generators of  $Y$ .

**Theorem:** Let  $P = \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$  be DR in all directions. Then the inclusion induced homomorphism  $G(P_Y) \rightarrow G(P)$  is injective for every subset  $Y$  of the generators.

Proof. If  $Y$  is the set of generators of  $P$  then  $G(P_Y) = G(P)$  and the statement is true. If  $Y$  is a proper subset of the set of generators we use the Theorem stated before.  $\square$

**Theorem:** Let  $P = \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$  and  $Y$  a proper subset of the generators. Assume that each  $r_i$  contains a generator not from  $Y$ . If  $P$  is DR directed away from  $Y$ , then  $Y$  generates a free subgroup of  $G(P)$  with basis  $Y$ .

Proof. Since each  $r_i$  contains a generator not from  $Y$  we have that  $P_Y = \langle Y \mid \rangle$  and  $G(P_Y)$  is free. The above theorem (2) gives the desired result. □

Here is a strengthening of the classical Freiheitssatz:

**Theorem:** Let  $P = \langle x_1, \dots, x_n \mid r \rangle$  be a one-relator presentation where  $r$  is a cyclically reduced relator that is not a proper power. Then  $P$  is DR in all directions.

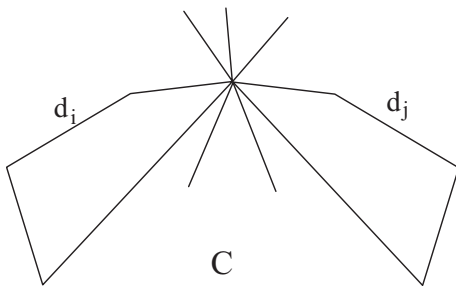
The proof uses a left ordering of the group which exists by theorems of Burns-Hale and Brodskii.

There is a notion of diagrammatic reducibility for relative presentations by B. Bogley and S. Pride, which is equivalent to directed diagrammatic reducibility.

# Relative Vertex Asphericity

There is another relative notion:

(HUCK, RB) A spherical diagram  $f: C \rightarrow K$  is called **vertex reducible**, if there is a pair of 2-cells  $d_i, d_j \in C$ , which are mapped to the same 2-cell of  $K$  by folding over a common vertex, the **folding vertex**. In that case the pair of 2-cells  $(d_i, d_j)$  is called a **folding pair**.



$f$  is called **vertex reducible** if it has a folding vertex. A 2-complex  $L$  is called **vertex aspherical** (VA) if each spherical diagram over  $L$  has a folding vertex.

$K$  is DR  $\Rightarrow$   $K$  is VA  $\Rightarrow$   $K$  is aspherical.



# Relative Vertex Asphericity

**Definition** (HARLANDER/RB) Let  $L$  be a 2-complex and  $K \subset L$ .  $L$  is **VA relative to**  $K$  if every spherical diagram  $f: C \rightarrow L$ ,  $f(C) \not\subset K$ , has a folding vertex with folding pair of 2-cells in  $L - K$ .

**Definition** (HARLANDER/RB) Let  $L$  be a 2-complex and  $K \subset L$ .  $L$  is **DR relative to**  $K$  if every spherical diagram  $f: C \rightarrow L$ ,  $f(C) \not\subset K$ , has a folding edge with folding pair of 2-cells in  $L - K$ .

# Relative Vertex Asphericity

$L$  is DR relative  $K \Rightarrow L$  is VA relative  $K$ .

**Theorem:** Let  $L$  be a 2-complex and  $K$  a subcomplex. If  $K$  is VA (DR) and  $L$  is VA (DR) relative to  $K$  then  $L$  is VA (DR).

Proof (of the VA case). Assume  $f: C \rightarrow L$  is a vertex reduced spherical diagram. Since  $L$  is VA relative to  $K$  we have that  $f(C) \subseteq K$ . So  $f: C \rightarrow K$  is a vertex reduced spherical diagram, contradicting the assumption that  $K$  is VA.  $\square$

**Theorem:** If  $L$  is VA relative to  $K$ , then  $\pi_2(L)$  is generated, as  $\pi_1(L)$ -module, by the image of  $\pi_2(K)$  under the map induced by inclusion. In particular, if  $K$  is aspherical, then so is  $L$ .

Proof. Every vertex reduced spherical diagram  $f: C \rightarrow L$  has its image  $f(C)$  in  $K$ . Thus  $f$  represents an element in  $\pi_2(K)$ . Since  $\pi_2(L)$  is generated by vertex reduced spherical diagrams, it follows that  $\pi_2(L)$  is generated by the image of  $\pi_2(K)$ .  $\square$

**Theorem:** Let  $K$  be a 2-complex with edge set  $X$  and  $Y \subset X$ . If  $K$  is DR directed away from  $Y$ , then  $K$  is DR relative to  $K_Y$ .

Proof. Assume  $K$  is not DR relative to  $K_Y$ . Then there exists a spherical diagram  $f: C \rightarrow K$  such that  $f(C) \not\subseteq K_Y$  where all pairs of 2-cells which may be reduced lie in  $K_Y$ .

Since  $f(C) \not\subseteq K_Y$  we have that  $C$  contains an edge labelled by an element of  $X - Y$ . Since all pairs of 2-cells which may be reduced lie in  $K_Y$  we have that all folding edges of  $C$  are labelled by elements of  $Y$ . So  $K$  is not DR directed away from  $Y$ . □

## Relative VA does not imply DDR

Let  $P = \langle a, b, c \mid bac^{-1}, cb^{-1}a^{-1} \rangle$  be a presentation.  $K(P)$  is the torus. There is a disk diagram  $D$  with boundary reading  $aba^{-1}b^{-1}$  achieved by gluing the two relator disks along  $c$  together. Glue  $D$  to  $-D$  to obtain a spherical diagram which is reducible at  $a$  and  $b$  only. This shows that  $P$  is not DR away from  $Y = \{a, b\}$ .

Since  $K_Y$  is the 2-complex modeled on the presentation  $\langle a, b \mid \rangle$  which has no relators we have that  $K(P)$  is DR relative  $K_Y$  if and only if  $K(P)$  is DR. But this presentation of the torus is certainly DR, so  $K(P)$  is DR relative  $K_Y$ .

# Whiteheadgraph

Let  $K$  be a standard 2-complex. The **link**  $\text{lk}(K)$ , is the boundary of a regular neighborhood of the vertex in  $K$ . (**Whiteheadgraph**)

The vertices of  $\text{lk}(K)$  are  $\{x^+, x^- \mid x \in X\}$ , where  $x^+$  is a point of the oriented edge  $x$  close to the beginning, and  $x^-$  is a point close to the ending of that edge.

The **positive link**  $\text{lk}^+(K)$  is the *full subgraph* on the vertex set  $\{x^+ \mid x \in X\}$  and the **negative link**  $\text{lk}^-(K)$  is the full subgraph on the vertex set  $\{x^- \mid x \in X\}$ .

# Forest implies aspherical

Let  $C$  be a cell decomposition of the 2-sphere with oriented 1-cells. A **source** in  $C$  is a vertex with all its adjacent edges point away from it. A **sink** is a vertex with all its adjacent edges point towards it.

A 2-cell  $d \in C$  is said to have **exponent sum 0** if, when traveling along the boundary of  $d$  in clockwise direction, one encounters the same number of positive as of negative edges.

**Theorem:** (Gersten) Let  $C$  be a cell decomposition of the 2-sphere with oriented edges, such that all 2-cells have exponent sum 0. Then  $C$  contains a sink and a source.

# Forest implies aspherical

**Theorem:** (Gersten) Let  $L$  be a finite 2-complex with one vertex and attaching maps of 2-cells in  $L$  have exponent sum 0. If  $\text{lk}^+(L)$  is a forest or  $\text{lk}^-(L)$  is a forest then  $L$  is DR.

Proof. Let  $f: C \rightarrow L$  be a spherical diagram. 2-cells of  $C$  have exponent sum 0. Last Theorem implies in  $C$  is a sink and a source. A source leads to a cycle in  $\text{lk}^+(L)$ . If  $\text{lk}^+(L)$  is a forest the cycle is reducible and hence the diagram is reducible. Likewise with a sink and a cycle in  $\text{lk}^-(L)$ . □



# Relative forest implies relative aspherical

A relative version:

Let  $\Gamma$  be a graph and  $\hat{\Gamma} = \Gamma_1 \cup \dots \cup \Gamma_n$  be a union of disjoint subgraphs. We write  $\Gamma/\hat{\Gamma}$  for the graph obtained from  $\Gamma$  by collapsing each  $\Gamma_i$  to a vertex.

$\Gamma$  is a **forest relative to  $\hat{\Gamma}$**  if  $\Gamma/\hat{\Gamma}$  has no cycles.

**Theorem:** Let  $L$  be a finite 2-complex with one vertex,  $K \subseteq L$  and attaching maps of 2-cells in  $L$  have exponent sum 0. If  $\text{lk}^+(L)$  is a forest relative to  $\text{lk}^+(K)$  or  $\text{lk}^-(L)$  is a forest relative to  $\text{lk}^-(K)$  then  $L$  is VA relative to  $K$ . Furthermore, the inclusion induced homomorphism  $\pi_1(K) \rightarrow \pi_1(L)$  is injective.

# Relative forest implies relative aspherical

Proof (of the first claim). Assume  $\text{lk}^+(L)$  is a forest relative to  $\text{lk}^+(K)$ . Assume there is a vertex reduced spherical diagram  $f: C \rightarrow L$ ,  $f(C) \not\subseteq K$ . Replace maximal regions in  $C$  which map to  $K$  by disks.  $C$  contains a source and a sink. Since  $\text{lk}^+(L)/\text{lk}^+(K)$  is a tree, a vertex reduction is possible at a source  $v \in C$ . □

# Weight test

Weight test (Gersten):

Let  $P = \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$  be a presentation.

Assume there is a function

$$w: \{\text{Edges of } W(P)\} \rightarrow \mathbb{R}$$

such that

- 1  $\sum_{e \in z} w(e) \geq 2$  for all reduced cycles  $z \in W(P)$ .
- 2  $\sum_{e \in r_i} w(e) \leq d_i - 2$  for all relators  $r_i$  ( $d_i$  is length( $r_i$ )).

Then  $K(P)$  is DR.

# Weight test

**Proof:** Assume  $f: C \rightarrow K(P)$  is a reduced spherical diagram.

Pull back the weights of  $W(P)$  to  $C$ .

$C$  reduced implies  $\sum_{e \text{ at } v} w(e) \geq 2$ . Which implies  $\sum_{e \in C} w(e) \geq 2V$  ( $V$ : number of vertices in  $C$ ).

Second condition ( $\sum_{e \in r_i} w(e) \leq d_i - 2$ ) implies:  
 $\sum_{e \in C} w(e) \leq -2F + \sum d_i = -2F + 2E$   
( $F$  number of 2-cells,  $E$  number of edges in  $C$ ).

Combine:  $2V \leq -2F + 2E$

Equivalent to  $2F - 2E + 2V \leq 0$

Contradiction to the Euler-Characteristic of the 2-sphere.  $\square$

# Relative Weight tests

Let  $\Gamma$  be a graph and  $z = e_1 \dots e_q$  a cycle in  $\Gamma$ . We say  $z$  is **homology reducible** if it contains a pair of edges  $e_i, e_j$  such that  $e_i = \bar{e}_j$  (the bar indicates opposite orientation) and **homology reduced** otherwise.

If a given spherical diagram  $f: C \rightarrow K$  is vertex reducible then there is link of a vertex in  $C$  with homology reducible image.

Let  $L$  be a 2-complex and  $K = K_1 \vee \dots \vee K_n \subset L$ . Let  $W(L, K)$  be  $\text{lk}(L)$  where  $\text{lk}(K_i)$  is replaced by two vertices  $k_i^+, k_i^-$  and exactly one edge  $e_i$  connecting these two vertices for each  $1 \leq i \leq n$ .

# Relative Weight tests

**Theorem:** Let  $L$  be a 2-complex with one vertex and cyclically reduced attaching maps of 2-cells and let  $K = K_1 \vee \dots \vee K_n \subset L$  such that no edge of  $K$  represents the trivial element in  $\pi_1(K)$ . Let  $\omega$  be a weight function on the edges of  $W(L, K)$  such that:

- 1  $\sum_i \omega(c_i) \leq q - 2$  if  $c_1, \dots, c_q$  are the corners of a 2-cell of  $L - K$ ,
- 2 if  $z$  is a homology reduced cycle in  $W(L, K)$  then  $\omega(z) \geq 2$ ,
- 3  $\omega(e_i) = 0$  for  $1 \leq i \leq n$ ,

then  $L$  is VA relative  $K$ .

# Relative Weight tests

Proof: Assume  $f: C \rightarrow L$  is a vertex reduced spherical diagram such that  $f(C) \not\subset K$ . Pull back the weights of  $W(L, K)$  to corners in 2-cells of  $C$  which map to  $L - K$ .

Replace each maximal region  $d \in C$  which is mapped to a single  $K_i$  by a 2-cell  $d'$  (we call  $d'$  a *replaced 2-cell*) and achieve a new cell decomposition  $C'$  of the 2-sphere.

Assign weight 0 to all corners of  $d'$ . Assign the weights of  $C$  to the corresponding corners of non-replaced 2-cells of  $C'$ .

The curvature of those 2-cells of  $C'$  coming from 2-cells of  $C$  mapped to  $L - K$  have curvature less or equal to 0 by condition 1.

A replaced 2-cell  $d' \in C'$  has at least two corners by the condition that no edge of  $K$  represents the trivial element in  $\pi_1(K)$ . It has weight 0 and condition 1 is satisfied for this 2-cell, leading also to non-positive curvature for replaced 2-cells.



# Relative Weight tests

Assume  $z'$  is the link of a vertex in  $C'$ . If  $z'$  contains no corners of replaced 2-cells then it has weight at least two by condition 2 since  $f$  is homology reduced. If  $z'$  contains a corner of a replaced 2-cell, this corner will contribute 0 to the weight of  $z'$ . Since it does not appear in  $W(L, K)$  if it is a corner in  $\text{lk}^+(K_i)$  or  $\text{lk}^-(K_i)$  or it has weight 0 in  $W(L, K)$  we have weight at least two by condition 2 for  $z'$ .

So we have non-positive curvature at vertices of  $C'$  contradicting the Euler-Characteristic of  $C'$  by the combinatorial Gauss-Bonet theorem. □




# Relative Weight tests

Here is a weight test for DDR:

**Theorem:** Let  $K$  be a 2-complex with cyclically reduced attaching maps of 2-cells and edge set  $X \cup Y$  with  $X = \{x_1, \dots, x_n\}$  and  $Y = \{y_1, \dots, y_p\}$ . Suppose we can assign weights  $\omega(e) \geq 0$  to the edges  $e$  of  $\text{lk}(K)$ , such that:

- 1 If  $e$  connects  $y_i^\epsilon$  with  $y_j^\delta$ , ( $\epsilon, \delta = \pm$ ) then  $\omega(e) \geq 1$ ;
- 2 If one of  $e$ 's boundary vertices is  $y_i^+$  or  $y_i^-$ , then  $\omega(e) \geq 1/2$ ;
- 3 If  $z$  is a reduced cycle in  $\text{lk}(K)$ , then  $\omega(z) \geq 2$ ;
- 4 Let  $d$  be a 2-cell of length  $\kappa(d)$  from  $K$ , then  $\sum_{c \in d} \omega(c) \leq \kappa(d) - 2$ .

Then  $K$  is DR directed away from  $Y$ .

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